

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

Sector 4  
PATENT APPLICATION

In re PATENT APPLICATION of

Attn: Application Division

Inventors **McCollum, Joe and BRIGGS, Donna J.**

Appln. No.: 09/589,647

Atty. Dkt. 23200/0269896

Filed: June 7, 2000

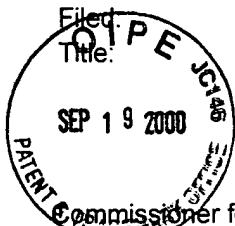
Title: **"A Method and System for Obtaining Health-Related Records and Documents Using An Online Location"**

(Our Deposit Account No. 03-3975)

(Our Order No. 23200 0269896

TMC# Client Ref

Date: **September 15, 2000**



Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts ☒ copy enclosed ☐ not yet received
2. ☒ Enclosed is a Signed Declaration (facsimile/copy) that was previously submitted with the application on **June 7, 2000**. The PTO inadvertently returned the Declaration with the Recordation of Assignment Document to Applicant. Applicant will re-submit the Declaration at this time.
3. ☐ Enclosed is an Assignment and cover sheet. Please return the recorded assignment to the undersigned.
4. ☒ Enclosed is a Verified Statement establishing "small entity" status under Rules 9 & 27 as filed on **August 4, 2000**.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

|   |  |  |            |            |                       |      |         |         |
|---|--|--|------------|------------|-----------------------|------|---------|---------|
| 5. Basic Filing Fee .....                               |  |  |            | \$690/ 345 | \$                    | 0.00 | 101/201 |         |
| 6. Total Claims   |  |  | minus 20 = |            | x \$18/\$09           | +    | 0.00    | 103/203 |
| 7. Independent Claims                                   |  |  | minus 3 =  |            | x \$78/\$39           | +    | 0.00    | 102/202 |
| 8. Surcharge for filing Declaration/filing fee late     |  |  |            |            | \$130/\$65            | +    | 65.00   | 105/205 |
| 9.  |  |  |            |            | FILING FEE ENCLOSED = |      | \$      | 65.00   |
| 10. Original due date: <b>October 29, 2000</b>          |  |  |            |            |                       |      |         |         |
| 11. If "assignment" box is X'd, add recording fee ..... |  |  |            |            | \$40                  | +    | 0.00    | 381     |
| 13.   |  |  |            |            | TOTAL FEE: =          |      | \$      | 65.00   |

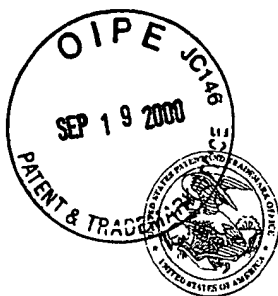
**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed. This charge statement does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

1100 New York Avenue, N.W.  
Ninth Floor, East Tower  
Washington, D.C. 20005-3918  
Tel: (650) 233-4776  
Fax: (650) 233-4545

**PILLSBURY MADISON & SUTRO, LLP**  
By: **Chang H. Kim**, Reg. No. 42,727

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on September 15, 2000.

Jeanette Walker



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/589,647         | 06/07/2000          | Joe McCollum          | 23200 EH-001           |

Chang H Kim  
Pillsbury Madison & Sutro LLP  
Ninth Floor East Tower  
1100 New York Avenue NW  
Washington, DC 20005-3918

## FORMALITIES LETTER



\*OC000000005360810\*

Date Mailed: 08/29/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

09/21/2000 MKOROMA 00000050 09589647

01 FC:205

65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|            |                                    |   |                 |               |
|------------|------------------------------------|---|-----------------|---------------|
| Applicant: | Joe McCollum et al.                | ] | Examiner:       | Not assigned  |
| Serial No. | 09/589,647                         | ] | Group Art Unit: | Not assigned  |
| Filed:     | June 7, 2000                       | ] | Atty. Docket #: | 23200 0269896 |
| Title:     | A Method and System for Obtaining  | ] |                 |               |
|            | Health-Related Records and         | ] |                 |               |
|            | Documents Using an Online Location | ] |                 |               |
|            |                                    |   | August 4, 2000  |               |

SMALL ENTITY CLAIM AND REFUND REQUEST

Asst. Commissioner for Patents  
Washington, D.C. 20231  
Attention: Refund Section, Accounting Division, Office of Finance

Sir:

Because the filing fee of \$690.00 was paid in the above-captioned patent application within the last two months, to wit: on June 7, 2000, applicant hereby requests that a refund of one-half of that fee be issued by crediting the amount of \$345.00 to our Account Order No. 03-3975 on the basis of the Small Entity Declaration enclosed herewith.

Respectfully submitted,

**Pillsbury Madison & Sutro LLP**

By: Chang H. Kim, Reg. No. 42,727

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*I certify that this paper is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Asst. Commissioner for Patents, Attention: Refund Section, Accounting Division, Office of Finance, Washington, D.C. 20231, on August 4, 2000.*

  
Conchita Picazo

cc Accounting Dept. Washington, D.C.